

**Anti-stress effects of  $\alpha$ -Limonene and its metabolite perillyl alcohol.**

Patrizia A. d'Alessio, MD, PhD<sup>1</sup>, Jean-François Bisson, PhD<sup>2</sup>, Marie C Béné, PharmSciD, PhD<sup>3</sup>

<sup>1</sup> Biopark Cancer Campus, University Paris Sud-11, 94807 Villejuif, France

<sup>2</sup> ETAP Research Centre, 54500 Vandœuvre-lès-Nancy, France

<sup>3</sup> Hematology Laboratory, CHU Nantes, 44000 Nantes, France

**Address for correspondence and reprints**

Prof. Patrizia A. d'Alessio, MD PhD

Biopark Cancer Campus

1, mail Pr G. Mathé 94807 Villejuif France

University Paris Sud-11

CHU Paul Brousse, Avenue Paul Vaillant-Couturier, 94807 Villejuif - France

Cell: 33-6-87 47 80 32

Fax: 33-1-78 40 04 38

patrizia.d-alessio@inserm.fr

endocell@wanadoo.fr

**Word count 2091**

**Keywords** stress,  $\alpha$ -Limonene, perillyl alcohol (POH), Orange Peel Extract (OPE).

This study was funded by the grant from the French Ministry of Research to Pr. Patrizia A. d'Alessio : "Award for Innovative Research", 2005.

## ABSTRACT

Stress is closely linked by its biological mechanisms to inflammation and by its consequences to accelerated aging. Stress triggers a hormonal response along the hypothalamus-pituitary-adrenal (HPA) axis, liable to disrupt the ortho / parasympathetic balance essential for a harmonious life. Proper nutrition and adequate physical activity, by limiting the harmful influence of stress, play important roles to avoid developing disease and to promote healthy aging. *d*-Limonene, a monoterpene shown to reduce inflammatory parameters in several pre-clinical and clinical models, could also develop an anti-stress action by altering ortho / parasympathetic parameters as well as central neurotransmitter functions. Here we report on a rat model, where a functional observational battery (FOB) was performed, submitting animals to non-pathological stress. *d*-Limonene or its metabolite perillyl alcohol (POH) were administered *per os* at a dose of 10mg/kg. FOB tests were performed one hour before gavage then at 60, 120 and 180 minutes. These tests confirmed the stressed status of control rats fed vehicle. Conversely, a series of parameters were significantly less disturbed in treated rats who retained a better activity and displayed less signs of stress. These effects were more pronounced and sustained after ingestion of *d*-Limonene than POH, suggesting the role of endogeneous metabolization of the terpene. These studies show that *d*-Limonene exerts, through its metabolite POH, a significant anti-stress action measurable by behavioral and physiologic parameters under the influence of the nervous system. In addition to its anti-inflammatory effects, a beneficial role for *d*-Limonene as diet supplement could thus be claimed as an anti-stress substance.

## INTRODUCTION

One of the key factors responsible for stress syndromes seems to be an imbalance of the sympathetic and parasympathetic nervous systems. Physiologically, the former acts as an accelerator while the latter is functioning as a brake, yet only the sympathetic nervous system is accessible to conscious alterations. For instance, it is possible to increase heart rate through exercise, but it is almost impossible to reduce it voluntarily, and it is therefore necessary to use drugs to restore the ortho / parasympathetic balance in order to prevent early cardiovascular fatalities<sup>1,2</sup>.

Stress can also cause a hormonal imbalance in the brain, especially in the hypothalamus-pituitary-adrenal (HPA) axis. Stress (whether physical or psychological) induces neurons in the hypothalamus to release corticotrophin-releasing hormone (CRH), which is then transported to the pituitary gland to initiate the secretion of adrenocorticotrophic hormone (ACTH). The adrenal cortex stimulated by ACTH increases the levels of cortisol, known as "the stress hormone"<sup>3</sup>. Cortisol has indeed a beneficial function in the body, but excessive levels brought by chronic stress can cause a dysregulation of inflammatory parameters. HPA and cortisol hyperactivation have thus been reported to be associated with a variety of health problems such as chronic inflammatory diseases, hypertension or depression<sup>4-6</sup>. During the course of inflammation, the pro-inflammatory cytokines that are produced by peripheral innate immune cells have an impact on brain functions via neural and humoral pathways. Enhancement of IL-6 production induces signs of sickness, including reduction of social exploration, immobility and body weight loss<sup>7</sup>. Aging moreover exacerbates depressive-like behaviors in response to activation of the peripheral innate immune system<sup>8</sup>. In this context, to counteract these dysbalances and restore an efficient ortho/parasympathetic function seems interesting. Nonetheless, it is also known that neurotransmitters of the central nervous system regulate acute and chronic stress and major mood modulating drugs used to lower anxiety and depression are acting at this central level. For instance, they inhibit serotonin recapture at the level of brain synapses. In response to stress, the hypothalamus is able to

produce, among others, consistent amounts of dopamine<sup>9</sup>, noradrenaline and serotonin, which influence the modulation of motor and psychic outcomes.

Until now, it has been clear that neurotransmitters status in the brain as well as certain brain functions / actions (such as appetite, sleep, memory / learning, and emotion) are modified by different nutrients, food components, nutrition conditions<sup>10</sup>, as well as increased stress<sup>11</sup>. In turn, it can be assumed that neurotransmitters participate to induce stress defense. Compounds derived from natural substances, mostly edible plants, have been known since centuries as “unusual food”, in that they are not expected to be consumed for energy purposes, but rather to prevent or treat infections, inflammation and mood disorders<sup>12</sup>. These compounds have recently acquired a new status of “alicaments”, interesting pharmacological candidates for the development of novel drugs preventing, maintaining and/or curing many body disabilities, the latter being potentially induced or precipitated by stressful events<sup>13-15</sup>. When added to diet, these natural compounds can act on the autonomic and / or central nervous systems and help maintaining or restoring their function. Such plants as valerian, skullcap and hops have thus been recognized to activate the vagal tone and bring the sympathetic / parasympathetic systems to a balanced alternation. A compound extracted from orange peel, *d*-Limonene, could have an even greater potential, as it has been shown that its metabolite POH (perillyl alcohol or perillic acid) induces a peak of dopamine at 4 hours in the hypothalamus after administration of *d*-Limonene<sup>11</sup>.

## MATERIALS AND METHODS

### Drugs

*d*-Limonene (C<sub>10</sub>H<sub>16</sub>,) of 97% purity and POH ((S)-4-Isopropenyl-1-cyclohexenylmethanol;(S)-p-Mentha-1,8-dien-7-ol) of 98% purity were purchased from Sigma-Aldrich (Saint-Quentin Fallavier, France) and prepared each day as a fresh solution by dissolving it in corn oil (Olvea, Saint Léonard, France) as vehicle. Stock solutions were of 4mg/mL.

### Animal model

Eighteen Wistar HsdBrlHan female rats (175-200 g) were obtained from EOPS (Harlan Breeding Centre, Gannat, France). At reception, the rats were labeled and maintained in the standardized conditions of an animal house with 22±2°C temperature and 50±10% hygrometry. Food and water were provided *ad libitum*. The animal house had an inverted light/dark cycle of 12 hours with lights on from 21:00 to 09:00. Rats were allowed a one week adaptation period to the laboratory conditions. For the tests, rats were fed with vehicle (corn oil), or 10 mg/kg (2,5 mL/kg) of *d*-Limonene or POH in vehicle, thus composing three groups of six rats.

### Functional Observational Battery (FOB)

To evaluate the potential properties of *d*-Limonene on stress, a series of tests was proposed to rats in standardized conditions. The battery of functional tests observed is dubbed FOB for functional observational battery FOB<sup>16,17</sup>. It has been used previously to assess functional deficits in rats exposed to chemicals or to quantify neurotoxic effects.

All animals were observed carefully by a trained technician who was blind with respect to the animals' treatment and the same observer was used to evaluate the animals throughout the experiment. All animals were observed 60 minutes prior and 60, 120 and 180 minutes after oral administration of test substances. They were removed from the home cage and placed

in a standard arena for observation in a specific red-lit room dedicated to the experiment. Efforts were made to ensure minimal variations in sound level, temperature, humidity, lighting, odors, time of day, and environmental distractions. Rats of the different groups were all handled in the same way and under the same conditions and were tested during the first hours of their daily active phase.

Around 40 parameters were measured in about 7 minutes for each passage of each rat in the experimental devices. Spontaneous locomotor activity and emotional state (anxiety) were measured by observing deambulation in an open arm or an open field. Balance was assessed using devices in which the rat was placed head down. Vision and motor coordination were tested through grip and suspension as the rat was approached to then placed on an antigravitic device. Audition was evaluated by producing a rattling sound near the ears. Approach and contact were measured by nearing a stylus for tactile stimulation without or with touching respectively. Pinching allowed to appreciate pain perception i.e. proprioception. A flashlight was applied for visual testing. Temperature changes were measured with a digital thermometer. The parameters recorded were spontaneous locomotor activity, body position, movement coordination, headflicking, head searching, circling, freezing, backwards walking, irritability, tremors, vocalization, lacrimation, salivation, piloerection, pupillary reflex, palpebral reflex, position of the tail, pelvic elevation, muscle tone of the limbs and abdomen. In the inversion and antigravitic response tests, forelimb/hind limb grip strength was measured. Upon stimulation, the toe and tail pinch reflexes, visual placing response and startle response (Preyer reflex) were evaluated. Miction, defecation and consistency of faeces, body temperature, heart and breathing rates were also measured in all conditions.

### **Classification of studied variables**

The variables measured can be categorized according to the functions assessed.

- *Behavioral effects*: spontaneous locomotive activity, locomotive behavior troubles, anxiety, touch response, irritability, aggression, freezing, somnolence, number of defecations, number of mictions, sensor-motor responses (toe pinch and sound response).
- *Neurological effects*: pupillary reflex, palpebral closure, pelvic elevation, tail position, limb and abdominal tones, reversal test, grip test, tremors, and piloerection;
- *Physiological effects*: salivation, lacrimation, diarrhea, body temperature, respiratory rhythm.

### Statistical analyses

The variables measured were scored on adapted scales for each parameter. Statistical analyses were carried out using the *Statview 5* statistical package (SAS, Institute Inc., USA) and MedCalc Software (Mariakerke, Belgium). Non-parametric tests were applied: one-way ANOVA with Kruskal-Wallis test followed, when significant, by the Mann-Whitney U-test to compare the different study variables. For all comparisons, differences were considered to be significant at the level of  $P < 0.05$ .

## RESULTS

Data collected during the observation or manipulation of the rats before and after gavage were compared between the control group and treated animals at each time point. The evolution of these parameters over time in each group was also considered. Of note, at baseline the three groups of animals had strictly similar FOB results.

### Comparison of the treated groups with the vehicle group (Table 1)

As only mild stress was applied (manipulation, postural adaptation, acoustic and visual stimulation), no significant variation of neurological parameters was observed. However, significant differences were observed for a series of behavioural and physiological effects.

Table 1 summarizes these significant variations, which varied depending on the time of testing. At 60 minutes, the most important difference was observed for irritability, significantly less after ingestion of either *d*-Limonene or POH. Similarly, treated rats produced less

vocalization and reacted less to toe pinch but showed more interest for objects than rats fed corn oil only. At 120 minutes, the positive effect of  $\alpha$ -Limonene was retained for irritability, vocalization and interest for objects. Additional significant effects were less fear reaction to approach with both compounds and less startles upon rattling when fed  $\alpha$ -Limonene. Significantly less vocalization and more interest for objects was still observed for  $\alpha$ -Limonene-fed rats at 180 minutes who also displayed less startles. These rats also significantly performed better in balance tests and crossed more cases (i.e. were more active) than rats who received either vehicle or POH. At 180 minutes, although both POH- and  $\alpha$ -Limonene-fed rats showed a decrease in temperature over the whole experiment, this drop became significant only for the latter. Of note, none of these variations remained significant for POH-fed rats at 180 minutes.

### **Evolution over time compared to baseline.**

Performances comparison over time confirmed that significant stress was applied to the animals as demonstrated by the evolution of parameters in vehicle-fed rats (Figure 1). The latter indeed displayed significantly decreased spontaneous activity and interest for objects, and consistently more time in freezing (i.e. feared) than treated rats. Little significant evolution was seen over time for rats receiving POH (only an increase in cases crossed), and any effect, which usually paralleled that seen in rats having received  $\alpha$ -Limonene, appeared to be transient.

Conversely, a large number of parameters differed significantly from baseline in rats fed  $\alpha$ -Limonene. At variance with control rats for which these parameters remained stable,  $\alpha$ -Limonene-fed rats showed significantly decreasing reaction to approach, toe pinch or tail pinch, became less and less startled or irritable and almost stopped vocalizing. They also significantly dropped their body temperature while retaining or even improving their ability to keep balance. Examples of these evolutions are shown in Figure 2.

## **DISCUSSION**



The studies reported here, performed by administering *d*-Limonene or POH to rats submitted to non-pathologic mild stress conditions, complete a previous report on the same experiment<sup>13</sup>. As published in 2008, substantial changes in movement parameters as well as motivational aspects revealed the influential effect of *d*-Limonene and POH on the emotional aspects of stress.

This complementary study shows further data about physiologic and behavioral changes induced by the oral administration of *d*-Limonene, acting on the ortho-parasympathetic system. Its capacity to reverse stress consists in a decrease of startles or aggressions. Individual susceptibility toward aggressiveness may thus be a matter of stress, as startles and elevated body temperature are signs of incoming depression<sup>18</sup>. Indeed, in the Ristomed trial<sup>14,15</sup> (performed on healthy 65-85 years old subjects with high inflammatory scores who benefited from Orange Peel Extract (OPE) supplementation containing a high amount of *d*-Limonene) a significant decrease of peripheral IL-6 levels was observed, associated with a statistically significant effect on depressive mood<sup>14-15</sup>. The pronounced anti-stress effects of *d*-Limonene in an animal model as well as of the orange peel extract (OPE) dietary supplement in humans suggests that this compound could be worthwhile in multimodal anti-stress therapy concepts<sup>19</sup>.

Several natural compounds may have an effect on stress and thereby condition the functional status of many organs<sup>20</sup>, and plants have traditionally been used to manage stress. The rationale of using buckwheat flowers as an herbal medicine is related to its content in vitamin B1, magnesium and phosphorus. Passionflower has relaxing and sedative properties that could cure not only stress but also insomnia<sup>21</sup>. Finally, hawthorn, a bush that produces white flowers and red fruit is used for its calming and sedative properties, without causing drowsiness<sup>22</sup>.

Here we bring new evidence of the boosting effect of *d*-Limonene and POH, contained in OPE as anti-stress agents. Interestingly, although they showed the same trend, the effects of POH were less significant than those of *d*-Limonene<sup>23</sup>. The latter even appeared delayed or persistent over the three hours of observation and manipulation of the rats. This suggests

that endogenous metabolism of *d*-Limonene was responsible for the release of its active metabolite, POH over the length of the experiment. This is consistent with reports from H Yokogoshi<sup>11</sup> demonstrating the ability of POH to induce the release of dopamine and control stress.

## REFERENCES

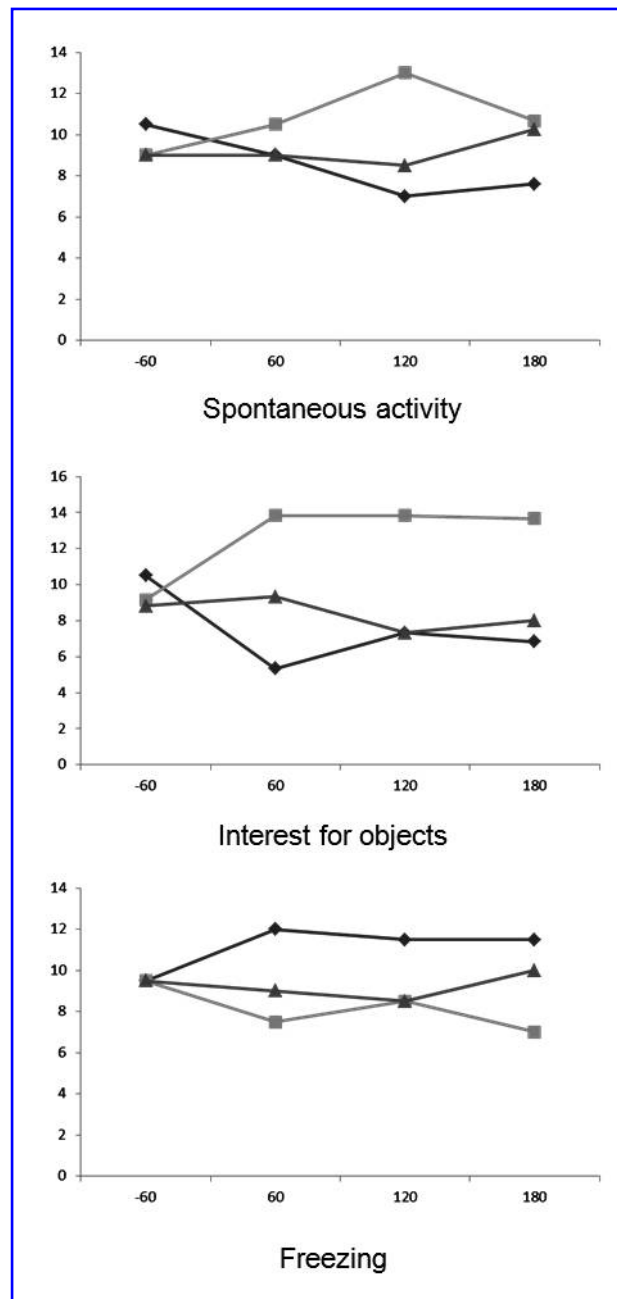
1. Tsigos C, Chrousos GP. Hypothalamic-pituitary-adrenal axis, neuroendocrine factors and stress. *Journal of Psychosomatic Research* 2002; 53:865-871.
2. Kelly JJ, Mangos G, Williamson PM, Whitworth JA. Cortisol and hypertension. *Clinical and Experimental Pharmacology and Physiology* 1998; 25:51-56.
3. Zimecki M, Artym J. The effect of psychic stress on the immune response. *Postepy Hig Med Dosw.* 2004; 58:166–175.
4. Esch T, Stefano GB, Fricchione GL, Benson H. The role of stress in neurodegenerative diseases and mental disorders. *Neurol Endocrinol Lett.* 2002; 23:199–208.
5. Faramawi MF, Gustat J, Wildman RP, Rice J, Johnson E, Sherwin R. Cardiovascular Health Study Investigators. Relation between depressive symptoms and common carotid artery atherosclerosis in American persons - 65 years of age. *Am J Cardiol.* 2007; 99: 1610–1613.
6. Du YJ, Zhang HY, Li B, Wu X, Lv YB, Jin HL, Cao YX, Sun J, Luo QL, Gong WY, Liu BJ, Wu JF, Shi SX, Dong JC. Sputum interleukin-6, tumor necrosis factor- $\alpha$  and salivary cortisol as new biomarkers of depression in lung cancer patients. *Prog Neuropsychopharmacol Biol Psychiatry* 2013; 47C:69-76.
7. Dantzer R, Bluthé RM, Michaud B, Poli V. Role of IL-6 in cytokine-induced sickness behavior: a study with IL-6 deficient mice. *Physiology & Behavior* 2000; 70:367-373.

8. Godbout JP, Moreau M, Lestage J, Chen J, Sparkman NL, O' Connor J, Castanon N, Kelley KW, Dantzer R, Johnson RW. Aging exacerbates depressive-like behavior in mice in response to activation of the peripheral innate immune system. *Neuropsychopharmacology* 2008; 33:2341-2351.
9. Lammel S, Lim BK, Malenka RC. Reward and aversion in a heterogeneous midbrain dopamine system. *Neuropharmacology*. 2013; 00115-9 e-pub ahead of print.
10. Aoyama M, Sakai T, Yokogoshi H, Yamamoto S. Effect of dietary tyrosine supplementation on the resistance to acute stress in rats. *Jpn J Physiol*. 1995; 45 :S288.
11. Yokogoshi H. Brain and Nutrition: Is it possible to promote anti-stressful effect by food components? *Nutrition Review*, 2007.
12. Masi S, Gustafsson E, Saint Jalme M, Narat V, Todd A, Bomsel MC, Krief S. Unusual feeding behavior in wild great apes, a window to understand origins of self-medication in humans: role of sociality and physiology on learning process. *Physiol Behav*. 2012; 105:337-49.
13. Bisson JF, Menut C, d'Alessio P. Anti-Inflammatory Senescence activates 5203-L molecule to promote healthy aging and prolongation of lifespan. *Rejuvenation Research* 2008; 11:399-407.
14. d'Alessio P, Ostan R, Valentini L, Bourdel-Marchasson I, Pinto A, Buccolini F, Franceschi C, Bené MC. Gender differences in response to dietary supplementation by Orange Peel Extract in elderly people in the RISTOMED study: impact on Quality of Life and inflammation. *PRIME* 2012; 2:30-37.
15. d'Alessio PA, Ostan R, Bisson JF, Schulzke JD, Ursini MV, Béné MC. Oral administration of d-Limonene controls inflammation in rat colitis and displays anti-inflammatory properties as diet supplementation in humans. *Life Sciences* 2013; 92:1151-1156.
16. MacPhail RC. Observational batteries and motor activity. *Zentralbl Bakteriol Mikrobiol*

- Hyg B. 1987; 185:21–27.
17. Moser VC. Functional assays for neurotoxicity testing *Toxicol Pathol.* 2011; 39:36-45.
  18. Szuba MP, Guze BH, Baxter LR Jr. Electroconvulsive therapy increases circadian amplitude and lowers core body temperature in depressed subjects. *Biol Psychiatry.* 1997; 42:1130-7.
  19. d'Alessio P, Ostan R, Capri M, Franceschi C. On the way of Longevity: how to counteract neurodegenerative disease. In: *Senescence and senescence – related disorders*, edited by Zhiwei Wang & Hiroyuki Inuzuka. INTECH open access 2013; 6:135-149.
  20. d'Alessio P, Bennaceur A, Ostan R, Franceschi C. New targets for the identification of an anti-inflammatory anti-senescence activity. In: Nagata T. ed., *Senescence*. <http://tinyurl.com/cpfn8o3> (accessed 30 September 2013).
  21. Da Silva JDT et al. Passionflower supplementation in diets of Japanese quails at rearing and laying periods. *Revista Brasileira de Zootecnia* 2010; 39:1530-1537.
  22. Atzei AD. *Le piante nella tradizione popolare della Sardegna*. Sassari, Italy; 2005, Carlo Delfino editore.
  23. Fukumoto S., Sawasaki E., Okuyama S., Miyake Y. and Yokogoshi H. Flavor components of monoterpenes in citrus essential oils enhance the release of monoamines from rat brain slices. *Nutr Neurosci.* 2006; 9:73–80.

---

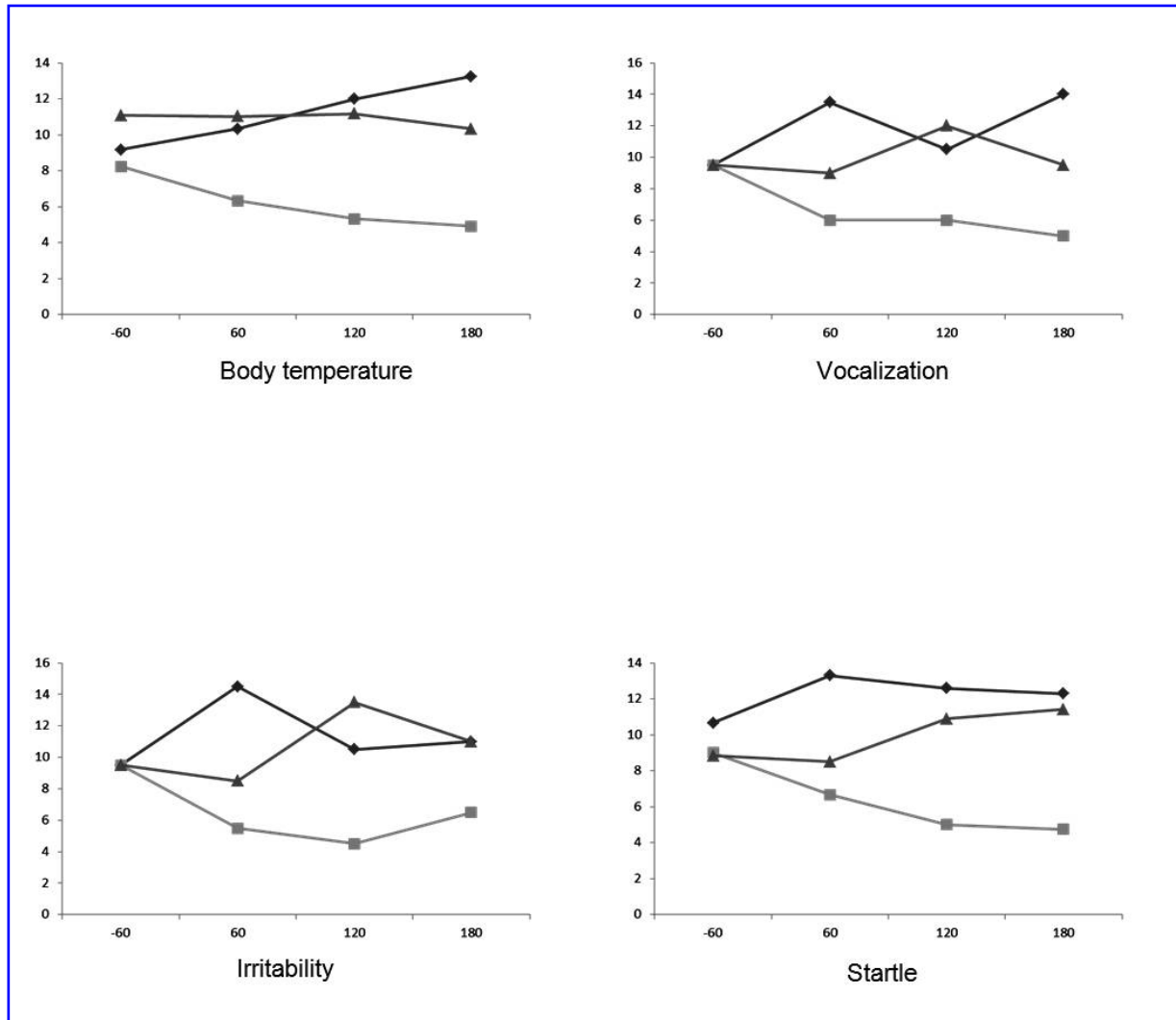
## Figure legends.



**Figure 1.**

Evolution over time, and compared to the baseline value (-60 minutes) of three parameters demonstrating the stress imposed to animals only fed corn oil (Vehicle, black line with diamonds), and the better behavior of animals who had received POH (dark grey line with triangles) or *d*-Limonene (light grey line with squares). Data are expressed as average ranks of the Kruskal Wallis test.

Rejuvenation Research  
Anti-stress effects of d-Limonene and its metabolite perillyl alcohol (doi: 10.1089/rej.2013.1515)  
This article has been peer-reviewed and accepted for publication, but has yet to undergo copyediting and proof correction. The final published version may differ from this proof.



**Figure 2.**

Improved parameters, compared to the baseline value (-60 minutes) and to animals only fed corn oil (Vehicle, black line with diamonds), in rats fed POH (dark grey line with triangles) or *d*-Limonene (light grey line with squares). Data are expressed as average ranks of the Kruskal Wallis test.



Table 1.

	60 minutes			120 minutes			180 minutes		
	$H_{(df=2)}^*$ (P)	P	P	$H_{(df=2)}^*$ (P)	P	P	$H_{(df=2)}^*$ (P)	P	P
		DL vs V	PA vs V		DL vs V	PA vs V		DL vs V	PA vs V
Temperature	0.88 (NS)	NS	NS	5.67 (NS)	NS	NS	7.62 ( $<0.05$ )	$<0.01$	NS
Vocalization	8.08 ( $<0.05$ )	$<0.01$	$<0.1$	6.8 ( $<0.05$ )	$<0.05$	NS	11.3 ( $<0.01$ )	$<0.001$	$<0.1$
Toe pinch	6.59 ( $<0.05$ )	$<0.05$	$<0.05$	1.42 (NS)	NS	NS	5.21 (NS)	NS	NS
Startles	5.38 (NS)	NS	NS	7.2 ( $<0.05$ )	$<0.05$	NS	7.77 ( $<0.05$ )	$<0.01$	NS
Approach	3.8 (NS)	NS	NS	9.9 ( $<0.01$ )	$<0.01$	$<0.05$	4.4 (NS)	NS	NS
Irritability	11.9 ( $<0.01$ )	$<0.01$	$<0.01$	11.9 ( $<0.05$ )	$<0.05$	NS	4.2 (NS)	NS	NS
Interest	9.32 ( $<0.01$ )	$<0.01$	$<0.1$	6.8 ( $<0.05$ )	$<0.05$	NS	6.6 ( $<0.05$ )	$<0.05$	NS
Inversion	5.36 (NS)	NS	NS	4.01 (NS)	NS	NS	10.58 ( $<0.01$ )	$<0.01$	NS
Cases	0.5 (NS)	NS	NS	4.25 (NS)	NS	NS	6.12 ( $<0.05$ )	$<0.05$	NS